Appendix 1 - Internal Audit Quarterly Plan
2016-17 Audit Plan - Quarter 3

Quarter	Type	Title	Notes
Q3	Schools	Schools - follow-up audit	Programme of follow-ups of recommendations made in earlier IA school reports.
Q3	Schools	School financial audit	*Keeping Your Balance* financial audits will be carried out as part of a programme of schools visits.
Q3	Contract	Follow-up of Property Services contracts	Follow up of recommendations made in the earlier IA report of Property Services Contracts where little or no assurance was given.
Q3	Systems	Admissions Process	The Admissions Process has been in place for over a year; management see this as a good time for IA to provide assurance that these procedures were operating soundly
Q3	Schools	Pupil Referral Units	Review of financial management arrangements for the Pupil Referral Units (Primary & Secondary): a follow-up of recommendations made in earlier report which received little or no assurance.
Q3	Schools	School Centred Initial Teacher Training (SCITT)	This audit is done at the request of the City's lead school for the SCITT process. Grant Certification in line with funding guidance.
Q3	Grant	ERDF Programme	European funding received for 3 ERDF capital projects. Grant Certification in line with Guidance is required by 22/10/2016.
Q3	Grant	SET-UP	SET-UP is a five year project funded by INTERREG Europe to look at the use of smart energy grids to better manage energy demand and supply. Grant Certification in line with Guidance is required.
Q3	IT audit	Web services	Review of the various council websites for security vulnerabilities.
Q3	IT audit	New MFDs (print/copy/scan)	These devices are connected to the network and linked to Progeny (building entery system). An IA review of Progeny found security issues. It would be timely to ensure there are no exploitable vulnerabilities in these devices.
Q3	IT audit	Penetration testing	As and when requested in 2016/17
Q3	General	Audit Lincolnshire General audit	Scope to be agreed with the client
Q3	IT (ext)	Audit Lincolnshire IT audit	Scope to be agreed with the client
Q3	IT (ext)	Rotherham Met Bor Council IT audit	Scope to be agreed with the client